



CYNGOR SIR  
YNYS MÔN  
ISLE OF ANGLESEY  
COUNTY COUNCIL

## AUDIT & GOVERNANCE COMMITTEE

### FORWARD WORK PROGRAMME

25 July 2017

Contact Officer:	Marion Pryor
	Head of Internal Audit & Risk
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Date	Subject	Responsible Officer (including e-mail address)
<p><b>25 July 2017</b>  <b>21 September 2017</b>  <b>To be confirmed</b>  <b>13 February 2018</b></p>	<p><b>Internal Audit Update</b></p> <ul style="list-style-type: none"> <li>• An update on Internal Audit's latest progress in terms of its service delivery, assurance provision, reviews completed, performance and effectiveness in driving improvement.</li> </ul>	<p><b>Head of Internal Audit &amp; Risk</b>  <a href="mailto:MarionPryor@ynysmon.gov.uk">MarionPryor@ynysmon.gov.uk</a></p>
<p><b>25 July 2017</b>  <b>21 September 2017</b>  <b>To be confirmed</b>  <b>13 February 2018</b></p>	<p><b>External Audit Progress Report</b></p> <ul style="list-style-type: none"> <li>• An update on External Audit's work: <ul style="list-style-type: none"> <li>○ Performance Audit</li> <li>○ Financial Audit</li> </ul> </li> </ul>	<p><b>Performance Audit Lead – Wales Audit Office</b>  <a href="mailto:Gwilym.bury@audit.wales">Gwilym.bury@audit.wales</a></p> <p><b>Financial Audit Manager – Deloitte</b>  <a href="mailto:cedge@deloitte.co.uk">cedge@deloitte.co.uk</a></p>
<p><b>25 July 2017</b></p>	<p><b>Progress Update on Housing Issues</b></p> <ul style="list-style-type: none"> <li>• Update as requested by members of the Committee at meeting of 28 June 2017</li> </ul>	<p><b>Head of Housing Services</b>  <a href="mailto:ShanWilliams@ynysmon.gov.uk">ShanWilliams@ynysmon.gov.uk</a></p>
<p><b>25 July 2017</b></p>	<p><b>Annual Treasury Management Report 2016/17</b></p> <ul style="list-style-type: none"> <li>• The annual treasury report is a requirement of the Council's reporting procedures and covers the treasury activity for 2016/17.</li> </ul>	<p><b>Head of Function (Resources) / S151 Officer</b>  <a href="mailto:MarcJones@ynysmon.gov.uk">MarcJones@ynysmon.gov.uk</a></p>
<p><b>25 July 2017</b></p>	<p><b>Annual Report of the Audit &amp; Governance Committee – Chair's Report</b></p> <ul style="list-style-type: none"> <li>• The Committee are asked to approve the Chair's Report for submission to the County Council meeting of 26 September 2017</li> </ul>	<p><b>Head of Function (Resources) / S151 Officer</b>  <a href="mailto:MarcJones@ynysmon.gov.uk">MarcJones@ynysmon.gov.uk</a></p>
<p><b>21 September 2017</b></p>	<p><b>Outstanding Internal Audit Recommendations</b></p> <ul style="list-style-type: none"> <li>• A report of all outstanding internal audit recommendations</li> </ul>	<p><b>Head of Internal Audit &amp; Risk</b>  <a href="mailto:MarionPryor@ynysmon.gov.uk">MarionPryor@ynysmon.gov.uk</a></p>

Date	Subject	Responsible Officer (including e-mail address)
21 September 2017	<b>Annual Review of the Risk Management Strategy and Framework</b>	<b>Insurance &amp; Risk Manager</b> <a href="mailto:JulieJones@ynysmon.gov.uk">JulieJones@ynysmon.gov.uk</a>
21 September 2017 13 February 2017	<b>Corporate Risk Register</b> <ul style="list-style-type: none"> <li>• To consider the corporate risks detailed in the Register.</li> </ul>	<b>Insurance &amp; Risk Manager</b> <a href="mailto:JulieJones@ynysmon.gov.uk">JulieJones@ynysmon.gov.uk</a>
21 September 2017 13 February 2018	<b>Progress made on External Regulatory Reports</b> <ul style="list-style-type: none"> <li>• The Audit and Governance Committee is requested to consider the progress made on External Regulatory Reports, which are directly related to the issues of governance or the management of risk within the Council.</li> </ul>	<b>Programme, Business Planning &amp; Performance Manager</b> <a href="mailto:GethinMorgan@ynysmon.gov.uk">GethinMorgan@ynysmon.gov.uk</a>
21 September 2017	<b>Housing Benefit and Council Tax Benefit Investigations</b> <ul style="list-style-type: none"> <li>• The report will set out the details of the claims successfully investigated by the Benefits Investigation Team.</li> </ul>	<b>Head of Function (Resources) / S151 Officer</b> <a href="mailto:MarcJones@ynysmon.gov.uk">MarcJones@ynysmon.gov.uk</a>
21 September 2017	<b>Report of the Head of Function (Resources) regarding the Annual Finance and Governance Report 2016/17</b> The Audit and Governance Committee is charged with approving the accounts on behalf of the Council. The Audit and Governance Committee is therefore required to: <ul style="list-style-type: none"> <li>• approve the Annual Finance and Governance Report 2016/17, including the Statement of Accounts 2016/17,</li> <li>• receive the Appointed Auditor's report on the accounts and the ISA 260, and to</li> <li>• approve the Final Letter of Representation.</li> </ul>	<b>Head of Function (Resources) / S151 Officer</b> <a href="mailto:MarcJones@ynysmon.gov.uk">MarcJones@ynysmon.gov.uk</a>

Date	Subject	Responsible Officer (including e-mail address)
5 December 2017	<b>Mid-year Report on Treasury Management for 2017/18</b> <ul style="list-style-type: none"> <li>• CIPFA's Treasury Management Practice Reporting requirements and management information arrangements recommend that local authorities should, as a minimum, report the treasury management position mid-year. The Committee is requested to note the current position on investments and borrowing.</li> </ul>	<b>Head of Function (Resources) / S151 Officer</b> <a href="mailto:MarcJones@ynysmon.gov.uk">MarcJones@ynysmon.gov.uk</a>
5 December 2017	<b>Audit and Governance Committee Terms of Reference</b> <ul style="list-style-type: none"> <li>• A review of the Committee's terms of reference</li> </ul>	<b>Head of Function (Resources) / S151 Officer</b> <a href="mailto:MarcJones@ynysmon.gov.uk">MarcJones@ynysmon.gov.uk</a>
13 February 2018	<b>Internal Audit Strategy and Annual Plan 2018/19</b> <ul style="list-style-type: none"> <li>• The Public Sector Internal Audit Standards 2017 requires the chief audit executive to present the Internal Audit Strategy and Annual Plan to the Audit and Governance Committee for approval.</li> </ul>	<b>Head of Internal Audit &amp; Risk</b> <a href="mailto:MarionPryor@ynysmon.gov.uk">MarionPryor@ynysmon.gov.uk</a>
13 February 2018	<b>Treasury Management Strategy 2018/19 and Actual Prudential Indicators for 2018/19</b> <ul style="list-style-type: none"> <li>• CIPFA's Treasury Management Practice Reporting requirements and management information arrangements recommend that local authorities should, as a minimum, report annually on their treasury management strategy and plan, before the start of the year.</li> <li>• The report will cover the actual Prudential Indicators for 2018/19 in accordance with the requirements of the Prudential Code.</li> </ul>	<b>Head of Function (Resources) / S151 Officer</b> <a href="mailto:MarcJones@ynysmon.gov.uk">MarcJones@ynysmon.gov.uk</a>

Future Items		
<p><b>June 2018</b></p>	<p><b>Draft Report of the Head of Function (Resources) / S151 Officer regarding the Annual Finance and Governance Statement 2017/18</b></p> <ul style="list-style-type: none"> <li>The Audit and Governance Committee is requested to comment on the content of the draft Annual Finance and Governance Report 2017/18 and contribute to the evaluations, conclusions and recommendations proposed to further develop or strengthen elements of the Council's governance arrangements during 2018/19.</li> </ul>	<p><b>Head of Function (Resources) / S151 Officer</b>  <a href="mailto:MarcJones@ynysmon.gov.uk">MarcJones@ynysmon.gov.uk</a></p> <p><b>Programme, Business Planning &amp; Performance Manager</b>  <a href="mailto:GethinMorgan@ynysmon.gov.uk">GethinMorgan@ynysmon.gov.uk</a></p>
<p><b>June 2018</b></p>	<p><b>Internal Audit Annual Report 2017/18</b></p> <ul style="list-style-type: none"> <li>The Public Sector Internal Audit Standards requires the chief audit executive to deliver an annual internal audit opinion and report that can be used by the Council to inform its governance statement. The annual internal audit opinion must conclude on the overall adequacy and effectiveness of the Council's framework of governance, risk management and control.</li> <li>The Committee is asked to note the report from the Head of Internal Audit &amp; Risk on the conclusion of the internal audit work carried out during 2017/18.</li> </ul>	<p><b>Head of Internal Audit &amp; Risk</b>  <a href="mailto:MarionPryor@ynysmon.gov.uk">MarionPryor@ynysmon.gov.uk</a></p>